



Annual Audit Compliance Report Form

Environmental Protection Act 1986, Part V Division 3

Once completed, please submit this form either via email to info@dwer.wa.gov.au, or to the below postal address:

Department of Water and Environmental Regulation
Locked Bag 10
Joondalup DC WA 6919

Section A – Licence details

Licence number:	L4328/1989/10	Licence file number:	DER2013/001044-1
Licence holder name:	MARBL Lithium Operations Pty Ltd		
Trading as:	MARBL Lithium Operations Pty Ltd		
ACN:	637 077 608		
Registered business address:	1 Sleat Road, APPLECROSS WA 6253		
Reporting period:	01 / 07 / 2019 to 30/ 06 / 2020		

Section B – Statement of compliance with licence conditions

Did you comply with all of your licence conditions during the reporting period?
(please tick the appropriate box)

- Yes – please complete:
- section C;
 - section D (if required); and
 - sign the declaration in Section F.
- No – please complete:
- section C;
 - section D (if required);
 - section E; and
 - sign the declaration in Section F.

Section C – Statement of actual production

Provide the actual production quantity for this reporting period. Supporting documentation is to be attached.

Prescribed premises category	Actual production quantity
Category 5	461,399 dmt (475, 669 wmt)
Category 52	27,010,000 kWh

Section D – Statement of actual Part 2 waste discharge quantity	
Provide the actual Part 2 waste discharge quantity for this reporting period. Supporting documentation is to be attached.	
Prescribed premises category	Actual Part 2 waste discharge quantity
Category 54	38.85 m ³ /day
Category 85b	0.011 GL
Category 89	1,162 tones

Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	3.3.1	Date(s) of non-compliance:	See below
Details of non-compliance:			
<p>(a) Condition 3.3.1 requires certain waste types disposed to the landfill facility to be monitored. Only the total volume of waste to landfill was monitored during the reporting period.</p> <p>(b) Condition 3.3.1 requires tungsten and tin to be measured for the haulage and mine water tank on a monthly basis. Although requested, the third party laboratory contractor failed to provide any data for tin and tungsten in December 2019 and January 2020 for the haulage and mine tanks. No data was provided for tungsten in March 2020 for the haulage tank due to an administrative oversight by the former environmental advisor.</p>			
What was the actual (or suspected) environmental impact of the non-compliance?			
NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
(a & b) Nil environmental impact			
Cause (or suspected cause) of non-compliance:			
<p>(a) Administrative oversight by former environmental advisor.</p> <p>(b) Administrative oversight by former environmental advisor. On two occasions (December 2019 and January 2020) the third party laboratory contractor failed to provide all of the data requested.</p>			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
(a & b) Tracking sheet implemented and accountability for managing environmental compliance data re-assigned following departure of former environmental advisor to relevant personnel.			
Was this non-compliance previously reported to DWER?			
<input type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	

Section E – Details of non-compliance with licence condition			
<input type="checkbox"/> Reported to DWER in writing		Date: / /	
Section E – Details of non-compliance with licence condition			
Please use a separate page for each condition with which the licence holder was non-compliant at a time during the reporting period.			
Condition no:	3.4.1	Date(s) of non-compliance:	See below
Details of non-compliance:			
Results for Biochemical Oxygen Demand, Total Suspended Solids and E-Coli were not monitored in Q1 of the reporting period as required by condition 3.4.1.			
What was the actual (or suspected) environmental impact of the non-compliance? NOTE – please attach maps or diagrams to provide insight into the precise location of where the non-compliance took place.			
Nil environmental impact			
Cause (or suspected cause) of non-compliance:			
Administrative oversight by former environmental advisor			
Action taken to mitigate any adverse effects of non-compliance and prevent recurrence of the non-compliance:			
<ol style="list-style-type: none"> 1. Tracking sheet implemented and accountability for managing environmental compliance data re-assigned following departure of former environmental advisor to relevant personnel. 2. All water quality sampling is being undertaken by Compliance Monitoring whilst the operation remains in Care & Maintenance. 			
Was this non-compliance previously reported to DWER?			
<input type="checkbox"/> Yes, and			
<input type="checkbox"/> Reported to DWER verbally		Date: / /	
<input type="checkbox"/> Reported to DWER in writing		Date: / /	

Section F – Declaration

I / We declare that the information in this Annual Audit Compliance Report is true and correct and is not false or misleading in a material particular¹.

I / We consent to the Annual Audit Compliance Report being published on the Department of Water and Environmental Regulation's (DWER) website.

Signature ² :		Signature:	
Name: (printed)		Name: (printed)	
Position:		Position:	
Date:		Date:	
Seal (if signing under seal):			

¹ It is an offence under section 112 of the *Environmental Protection Act 1986* for a person to give information on this form that to their knowledge is false or misleading in a material particular.

² AACRs can only be signed by the licence holder or an authorised person with the legal authority to sign on behalf of the licence holder.